

**Qualification Title:** New Zealand Certificate in Regulatory Compliance (Core Knowledge) (Level 3).

**Qualification number:** 2774

**Date of review:** 7 November 2019

This report refers to graduates awarded this qualification prior to: **31<sup>st</sup> December 2018**

**Final decision on consistency of the qualification: National consistency is confirmed**

#### **Threshold:**

The threshold to determine sufficiency with the graduate profile was determined as evidence of the graduate being able to:

- Apply core knowledge of operating in a regulatory compliance environment
- Apply core knowledge of regulatory compliance activities in a regulatory compliance context
- Apply core knowledge of record and evidence management; and information and privacy legislation to meet requirements in a regulatory compliance context
- Interact professionally with others when working in a regulatory compliance context.

#### **Education Organisations with sufficient evidence**

The following education organisations have been found to have sufficient evidence.

<b>Education Organisation</b>	<b>Final rating</b>
The Skills Organisation	Sufficient

#### **Introduction**

This qualification has been developed by The Skills Organisation in partnership with the Government Regulatory Practice Initiative (G-Reg) as the base qualification in regulatory practice for central and local government. Presently, staff from 37 agencies are undertaking the qualification, with 1,832 graduates as at 31 December 2018.

As a level 3 qualification, it provides an introduction to regulatory practice for all levels of staff within government agencies (irrespective of other qualification those staff may hold). It is designed to develop a common understanding of principles and language of regulatory practice. Graduates may progress to higher level qualifications in the field. Eight hundred students have currently progressed to study at level 4.

This 40-credit qualification is designed to be studied part-time and on-line by employees in regulatory agencies. The programme comprises study towards assessment of seven unit standards (41 credits in total). Assessment is undertaken through an assessment portal with automated marking.

## Final Consistency Review Report

Programme material is continually updated as legislation changes and assessments are reviewed through data analytics, feedback from learners and review by sector experts. A fully reviewed version 2 of the assessments was released in late 2018.

### Evidence

The education organisations provided a range of evidence to demonstrate that their graduates met the graduate profile outcomes.

The criteria used to judge the evaluation question were:

- The nature, quality and integrity of the evidence presented by the education organisation;
- How well the organisation has analysed, interpreted and validated the evidence, and used the understanding gained to achieve actual or improved consistency;
- The extent to which the education organisation can reasonably justify and validate claims and statements relating to the consistency of graduate outcomes, including in relation to other providers of programmes leading to the qualification.

Evidence included video attestations from both graduates and agency chief executives, graduate and manager surveys and evidence of pre-moderation practice.

### **How well does the self-assessment and supporting evidence provided by the education organisation demonstrate that its graduates match the graduate outcomes at the appropriate threshold?**

Video and written evidence is presented that the qualification is an integral part of the G-Reg strategy, is highly valued by agencies and is being actively promoted within these organisations. Graduate survey data show that from a graduate perspective all graduate outcomes are being achieved. This is supported by managers. Extensive evidence of effective pre-moderation (critical to automated assessment) is provided.

Overall, the self-assessment and supporting evidence supplied, by the organisation found sufficient, demonstrates that their graduates meet the graduate outcomes at the determined threshold.

### Special Focus

None

### Examples of good practice

Clear evidence of multiple agency support for this qualification at the highest level was provided by a series of videos promoting the Government Regulatory Practice Initiative and this qualification in particular.

Comprehensive evidence of pre-moderation of assessment was provided not just confirming processes were in place, but showing the improvements made as a result of moderation.

One letter attesting to the value of the qualification from an employer included evidence of how graduates within the organisation felt their knowledge of regulatory matters had shifted as a result of gaining the qualification.

### Issues and concerns

None.

## **Final Consistency Review Report**

### **Recommendations to Qualification Developer**

The qualification is due for review at the end of 2020. The Skills Organisation reported that the steering group of agency executives that has oversight of the qualification are generally satisfied with its current structure.