

**Qualification Title:** New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4)

**Qualification number:** 2775

**Date of review:** 1 October 2019

This report refers to graduates awarded this qualification prior to: **31 December 2018**

**Final decision on consistency of the qualification: National consistency is confirmed**

**Threshold:**

The threshold to determine sufficiency with the graduate profile was determined as evidence of graduates who can operate with understanding of the operational context of regulatory compliance under broad organisational guidance.

They can:

- Apply operational knowledge: legal systems, powers and obligations, offences, and evidence;
- Apply knowledge of regulatory compliance activities;
- Apply knowledge of how to effectively use a range of regulatory compliance; interventions to achieve regulatory compliance outcomes;
- Apply knowledge of communication to achieve a regulatory compliance outcome.

**Education Organisations with sufficient evidence**

The following education organisations have been found to have sufficient evidence.

Education Organisation	Final rating
The Skills Organisation	Sufficient

**Introduction**

The purpose of this Level 4, 40 credit qualification is to provide people employed in, or who want to go into, routine operational roles in local and central government organisations with knowledge of the operational context of regulatory compliance.

Graduates will have knowledge relevant to working in a range of operational regulatory compliance roles in local and central government organisations, and organisations that carry out regulatory compliance work on their behalf.

Programmes leading to the qualification will often be delivered with the New Zealand Certificate in Regulatory Compliance (Operational Practice) (Level 4) [Ref: 2776]. It is a requirement to be a graduate of this qualification before graduating from the New Zealand Certificate in Regulatory Compliance (Operational Practice) (Level 4) [Ref: 2776], but it is not required to be a graduate of this qualification to begin that one [Ref: 2776].

## **Final Consistency Review Report**

The consistency review took place via teleconference, as only one education organisation reported graduates of this qualification.

The Skills Organisation is the qualification developer and a representative attended the consistency review meeting in this capacity.

### **Evidence**

The education organisation provided a range of evidence to demonstrate that their graduates met the graduate profile outcomes.

The criteria used to judge the evaluation question were:

- The nature, quality and integrity of the evidence presented by the education organisation.
- How well the organisation has analysed, interpreted and validated the evidence, and used the understanding gained to achieve actual or improved consistency.
- The extent to which the education organisation can reasonably justify and validate claims and statements relating to the consistency of graduate outcomes, including in relation to other providers of programmes leading to the qualification.

Evidence provided for this review included:

- Confirmation that the education organisations had a coherent programme of industry training which ensured that programme unit standards led to the graduate profile outcomes.
- Graduate and employer surveys which confirmed that graduates had gained and were using the skills and knowledge outlined in the graduate profile.
- Destination data supporting that graduates were working in roles that required the application of skills and knowledge required by the graduate profile.

### **How well does the self-assessment and supporting evidence provided by the education organisation demonstrate that its graduates match the graduate outcomes at the appropriate threshold?**

The education organisation submitted a range of evidence that could be triangulated to demonstrate that graduates match the graduate outcomes at the appropriate threshold. This included assessment and moderation evidence, programme alignment, graduate and employer feedback, and destination data.

The education organisation provided strong evidence related to the alignment of its approved programme of industry training with the GPOs, and of the quality and suitability of the programmes in terms of supporting graduate consistency with the graduate outcome.

Evidence relating to moderation was strong, demonstrating good moderation processes.

The education organisations also presented useful feedback from graduates that was directly aligned to the GPOs. It provided positive evidence from employers that graduates were using valuable skills and knowledge consistent with the GPOs. Confirmation that graduates were continuing to work in related roles was viewed as good evidence.

Overall, the self-assessment and supporting evidence supplied demonstrates that graduates meet the graduate outcomes at the determined threshold.

## **Final Consistency Review Report**

### **Special Focus**

None.

### **Examples of good practice**

The education organisation presented well-organised, relevant, and clearly analysed evidence that was triangulated between programme information, graduate destination and feedback data, and data from employers. Clear and focussed evidence presented in this way provides a concise and convincing case for consistency.

### **Issues and concerns**

None.

### **Recommendations to Qualification Developer**

None.